**PETROLEUM UST RELEASE COMPENSATION BOARD**
**PROGRAM YEAR 2013 COMPLIANCE / FEE ASSESSMENT REPORT**
**AS OF JANUARY 31, 2014**

| | PY 2013 As of 1/31/2014 | PY 2013 As of 12/31/2013 | Increase from Jan. Meeting | PY 2012 (7/1/12 - 6/30/13) | PY 2011 (7/1/11 - 6/30/12) | PY 2010 (7/1/10 - 6/30/11) | PY 2009 (7/1/09 - 6/30/10) | Percent of PY 2013/PY 2012 |
|---|---|---|---|---|---|---|---|---|
| **Refunds** | | | | | | | | |
| Amount of Refunds Paid | **$69,115** (a) | $53,425 | $15,690 | $273,165 | $387,524 (a) | $214,842 | $106,391 | 25% |
| Number of Refunds Paid | **129** | 103 | 26 | 636 | 525 | 423 | 225 | 20% |
| Number of Owners Paid Refunds | **68** | 55 | 13 | 220 | 226 | 205 | 131 | 31% |
| Number of Pending Refunds | **3,005** | 2,943 | 62 | | | | | |
| Amount of Pending Refunds | **$1,391,287** | $1,388,996 | $2,291 | | | | | |
| **Collections** | | | | | | | | |
| Amount of Refunds Used to Offset Outstanding Fees | **$78,625** | $78,025 | $600 | $51,194 | $68,517 | $48,700 | $33,950 | 154% |
| Amount Collected by Attorney General/Special Counsel | **$164,449** | $141,960 | $22,489 | $384,008 | $448,231 | $371,668 (b) | $370,397 (b) | 43% |
| Collection Costs | **$44,972** | $40,258 | $4,714 | $115,310 | $142,714 | | | |
| Amount Collected by AG/SC, Less Collection Costs | **$119,477** | $101,702 | $17,775 | $268,698 | $305,517 | | | |
| Number of Accounts Certified to the AG's office | **272** | 79 | 193 | 604 | 687 | 592 | 513 | 45% |
| Number of Owners Certified to the AG's office | **179** | 23 | 156 | 226 | 250 | 240 | 233 | 79% |
| Amount Certified to the AG's office | **$1,154,822** | $127,880 | $1,026,942 | $1,939,685 | $1,687,168 | $1,820,115 | $1,555,520 | 60% |
| **Appeals** | | | | | | | | |
| Orders Pursuant to Law | **6*** | | *Current: 5 under review; 1 settlement agreement pending | | | | | |
| Determination to Deny a Certificate of Coverage | **2**** | | **Current: 1 under review; 1 hearing scheduled | | | | | |
| **Ability to Pay Applications** | | | | | | | | |
| Ability to Pay Applications Pending Review | **4** | | | | | | | |
| **COC Applications (As of 2/11/14)** | | | | | | | | |
| COC Application/Fee Statements Sent - annual mailing | **3,477** | | | 3,538 | 3,595 | 3,674 | 3,694 | 98% |
| # of Owners Issued COC | **3,115** | 3,085 | | 3,243 | 3,254 | 3,258 | 3,264 | 96% |
| # of Owners w/COCs In-Process | **187** | 200 | | n/a | n/a | n/a | n/a | |
| # of Owners w/Unresolved Pending Denials of COC | **59** | 62 | | 5 | 3 | 2 | 2 | |
| # of Owners w/Unresolved Determinations Denying COC | **55** | 18 | | 154 | 164 | 207 | 241 | |
| **Unclaimed Monies/Refunds Pending** | | | | | | | | |
| Owners on Unclaimed Monies List (Uncashed Checks) | **57** | | | | | | | |
| Amount of Unclaimed Monies (Uncashed Checks) | **$39,045** | | | | | | | |
| Owners with Refunds Pending - More Info Requested | **55** | | | | | | | |
| Amount of Refunds Pending with More Info Requested | **$61,205** | | | | | | | |

**(a) Program year 2013 refund goal - $250,000. PY 2013 as of 1/31/14: $69,115 (28%). PY 2012 goal $275,000. Total - $273,165 (99%). PY 2011 goal - $250,000. Total - $387,524 (155%).**

**(b) Amount includes AG and Special Counsel fees paid by Board**