# CLAIMS STATISTICS REPORT
February 3, 2014

| | | |
|---|---|---|
| Total Number of all Sites | 2,922 | |
| Face Value of all Claims | | $430,915,464 |
| Total Deductible Used for Settled Claims | | ($93,093,431) |
| Deductible Remaining for Open Claims | | ($9,234,327) |
| Net Value | | $328,587,707 |
| Total Disallowed Costs | | ($77,538,117) |
| Total Payments & Installments | | ($220,629,279) |
| **Maximum Liability of Unpaid Claims** | | **$30,420,311** |
| Total Average Gross Value per Closed Site | | $128,511 |
| Total Average Net Value per Closed Site | | $95,248 |
| **Total Average Payout per Closed Site (2,172 sites)** | | **$68,877** |

Costs for Sites Cleaned Up Exclusively Under BUSTR's 1999, 2005 and/or 2012 Corrective Action Rule

Above the Deductible (155 sites):

| | |
|---|---|
| Average clean-up costs per site: | $111,786 |
| Average claim payout per site: | $42,985 |

Above and Below the Deductible (326 sites):

| | |
|---|---|
| Average clean-up costs per site: | $68,133 |
| Average claim payout per site: | $20,438 |

Breakdown of all claim packages

| | | |
|---|---|---|
| **Work in Progress: Open Claims** | | |
| Number of Claims with More Information Requested | 12 | |
| Number of Claims with More Information Received | 4 | |
| Number of Pending Reviewable Claims | 1,767 | |
| Total Open Claims | | 1,783 |
| **Settled/Closed Claims** | | |
| Number of Settled Claims | 4,941 | |
| Number of Closed Claims | 8,007 | |
| Below Deductible/NFA closed without review/Revoked | 657 | |
| Number of Claims on Waiting List | 129 | |
| Total Settled Claims | | 13,734 |
| **Held Claims** | | |
| Number of Claims with Appeals Outstanding | 22 | |
| Number of Claims Below the Deductible | 283 | |
| Total Held Claims | | 305 |
| **Total Number of Claims** | | **15,822** |

## CLAIM SETTLEMENT SUMMARY

| YEAR | CLAIMS REC'D | SETTLED | RVK/BD-NFA[1] | NET VALUE | DISALLOWED | SETTLEMENT | PAYOUT |
|---|---|---|---|---|---|---|---|
| **2004** | 743 ($14.527M) | 685 | 47 | $14,072,003 | $3,886,634 | $10,166,903 | 72.2% |
| **AVG/MONTH** | **62 ($1.210M)** | **61** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 14,842** |
| **2005** | 823 ($13.235M) | 782 | 26 | $14,605,477 | $4,377,921 | $10,184,085 | 69.7% |
| **AVG/MONTH** | **69 ($1.103M)** | **67** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 13,023** |
| **2006** | 971 ($12.874M) | 790 | 48 | $14,231,096 | $4,708,846 | $9,446,002 | 66.4% |
| **AVG/MONTH** | **81 ($1.073M)** | **70** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 11,957** |
| **2007** | 916 ($13.177M) | 738 | 65 | $11,727,341 | $4,467,443 | $7,259,898 | 61.9% |
| **AVG/MONTH** | **76 ($1.098M)** | **67** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 9,837** |
| **2008** | 867 ($12.409M) | 752 | 52 | $11,602,241 | $3,631,544 | $8,191,840 | 70.6% |
| **AVG/MONTH** | **72 ($1.034M)** | **67** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 10,893** |

| | CLAIMS REC'D | SETTLED | RVK/BD-NFA[1] | FACE VALUE | DISALLOWED | DEDUCTIBLE USED | SETTLEMENT |
|---|---|---|---|---|---|---|---|
| **2009** | 799 ($11.078 M) | 723 | 38 | $14,606,030 | $3,892,253 | $3,081,606 | $ 7,632,171 |
| **AVG/MONTH** | **67 ($0.923 M)** | **63** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 10,556** |
| **PERCENTAGES** | | | | | 26.6% | | 66.2% |
| **2010** | 808 ($11.406 M) | 705 | 52 | $12,639,804 | $3,001,516 | $2,583,509 | $ 7,054,779 |
| **AVG/MONTH** | **67 ($0.951 M)** | **63** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 10,007** |
| **PERCENTAGES** | | | | | 23.7% | | 70.2% |
| **2011** | 794 ($10.536 M) | 725 | 94 | $13,320,431 | $2,753,772 | $3,537,439 | $ 7,029,220 |
| **AVG/MONTH** | **66 ($0.878 M)** | **68** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 9,695** |
| **PERCENTAGES** | | | | | 20.7% | | 71.9% |
| **2012** | **731 ($10.055 M)** | **697** | **53** | $11,779,357 | $2,408,971 | $2,374,716 | $ 6,737,103 |
| **AVG/MONTH** | **61** | **63** | | | **AVERAGE PAYOUT PER CLAIM** | | **$ 9,665.86** |
| **PERCENTAGES** | | | | | 20.5% | | 71.6% |

**PROGRAM YEAR 2013**

| MONTH | CLAIMS REC'D | SETTLED | RVK/BD-NFA[1] | FACE VALUE | DISALLOWED | DEDUCTIBLE USED | SETTLEMENT |
|---|---|---|---|---|---|---|---|
| Jul-13 | 94 ($1.677 M) | 62 | 0 | $936,818 | $125,991 | $326,013 | $484,814 |
| Aug-13 | 100 ($0.766 M) | 58 | 0 | $727,356 | $61,844 | $96,452 | $569,060 |
| Sep-13 | 77 ($0.808 M) | 55 | 0 | $633,763 | $157,359 | $298,101 | $178,303 |
| Oct-13 | 75 ($0.837 M) | 94 | 25 | $1,533,177 | $280,187 | $464,121 | $788,869 |
| Nov-13 | 71 ($0.881 M) | 71 | 0 | $941,021 | $142,255 | $127,037 | $671,729 |
| Dec-13 | 66 ($1.172 M) | 49 | 0 | $974,376 | $237,622 | $190,351 | $546,403 |
| Jan-14 | 90 ($1.528 M) | 100 | 0 | $675,086 | $180,110 | $176,544 | $318,433 |
| Feb-14 | | | | | | | |
| Mar-14 | | | | | | | |
| Apr-14 | | | | | | | |
| May-14 | | | | | | | |
| Jun-14 | | | | | | | |
| **TOTAL** | **572 ($7.665 M)** | **489** | **25** | $6,421,598 | $1,185,369 | $1,678,618 | $3,557,611 |
| **AVG/MONTH** | **82** | **73** | | | | | |
| PERCENTAGES | | | | | 18.5% | | 75.0% |
| **AVERAGE PAYOUT PER CLAIM** | | | | | | | **$7,275** |

[A]Includes a settlement agreement in PY 2003 with a major oil company for 66 claims for $663,775 (a payout percentage of 45.5%)
[B]Includes a settlement agreement in PY 2004 with a major oil company for 98 claims for $1,100,000 (a payout percentage of 59.2%)
[C]Includes a settlement agreement in PY 2004 with a major oil company for 33 claims for $417,500 (a payout percentage of 76.6%)
[D]Includes a settlement agreement in PY 2005 with a major oil company for 89 claims for $912,000 (a payout percentage of 62.5%)
[E]Includes a settlement agreement in PY 2006 with a major oil company for 124 claims for $1,832,000 (a payout percentage of 63.5%)
[F]Includes a settlement agreement in PY 2008 with a major oil company for 136 claims for $1,820,000 (a payout percentage of 60.8%)
[G]Includes a settlement agreement in PY 2009 with a major oil company for 96 claims for $1,215,000 (a payout percentage of 46.9%)
[1]Eligibility revoked and/or Below Deductible claims with an NFA are not included in the claims payout statistics.

**ELIGIBILITY STATISTICS REPORT**

February 3, 2014

**Breakdown of Applications**

| | |
|---|---|
| *More Info Requested* | 1 |
| *In Review* | 6 |
| *Total Open Applications* | 7 |

*Eligibility Determinations Under Appeal* *3*

| | REC'D | APP'D | DENIED |
|---|---|---|---|
| **PY 2003** | 78 | 66 | 42 |
| **Average/mo.** | **7** | **9** | |
| **PY 2004** | 72 | 79 | 48 |
| **Average/mo.** | **6** | **11** | |
| **PY 2005** | 96 | 71 | 34 |
| **Average/mo.** | **8** | **9** | |
| **PY 2006** | 109 | 69 | 34 |
| **Average/mo.** | **9** | **9** | |
| **PY 2007** | 108 | 71 | 29 |
| **Average/mo.** | **9** | **8** | |
| **PY 2008** | 96 | 63 | 30 |
| **Average/mo.** | **8** | **8** | |
| **PY 2009** | 85 | 81 | 20 |
| **Average/mo.** | **7** | **8** | |
| **PY 2010** | 38 | 48 | 18 |
| **Average/mo.** | **3** | **6** | |
| **PY 2011** | 63 | 45 | 15 |
| **Average/mo.** | **5** | **5** | |
| **PY 2012** | 87 | 54 | 17 |
| **Average/mo.** | **7** | **6** | |

| Denial Reasons PY 2003-2012 | | % |
|---|---|---|
| No Release | 88 | 30.7% |
| Late Filing | 63 | 22.0% |
| No Valid COC | 34 | 11.8% |
| Abandoned/Out of Compliance Tank | 30 | 10.5% |
| No CA Required | 16 | 5.6% |
| Pre-Fund Release | 9 | 3.1% |
| Incomplete App | 9 | 3.1% |
| Untimely Fees | 4 | 1.4% |
| No Fee Payment | 3 | 1.0% |
| No Response | 3 | 1.0% |
| Other | 28 | 9.8% |
| **Total** | **287** | |

**Program Year 2013**

| | REC'D | APP'D | DENIED |
|---|---|---|---|
| Jul-13 | 6 | 16 | 1 |
| Aug-13 | 2 | 5 | 1 |
| Sep-13 | 1 | 0 | 0 |
| Oct-13 | 1 | 5 | 2 |
| Nov-13 | 8 | 10 | 0 |
| Dec-13 | 8 | 2 | 0 |
| Jan-14 | 2 | 7 | 1 |
| Feb-14 | | | |
| Mar-14 | | | |
| Apr-14 | | | |
| May-14 | | | |
| Jun-14 | | | |
| **TOTAL** | 28 | 45 | 5 |
| **Average/mo.** | **4** | **7** | |

| **Denial Reasons PY 2013** | |
|---|---|
| No Release | 2 |
| Late Filing | |
| No Valid COC | 1 |
| Abandoned / OOC | 2 |
| No CA Required | |
| Pre-Fund Release | |
| Incomplete App | |
| Untimely Fees | |
| No Fee Payment | |
| No Response | |
| Other | |
| **Total** | **5** |

# COST PRE-APPROVAL STATISTICS REPORT

February 3, 2014

**Mandatory Cost Pre-Approvals**

| | |
|---|---|
| Number Annual RAPs in Review | 3 |
| Number Annual FPR in Review | 7 |
| All Other Mandatory Open Cost Pre-Approvals: | 25 |
| Total Open Mandatory Cost Pre-Approvals | **35** |

**Voluntary Pre-Approvals**

| | |
|---|---|
| Number in Review | **2** |

| | New RAPs | | Annual RAP Cost Pre-Approvals | | Annual FPR Cost Pre-Approvals | | Mandatory IRA | | Tier 3 | | Monitoring / Calibration Plan | | Voluntary | | TOTALS | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D |
| PY 2007 | 26 | 35 | 26 | 21 | 49 | 49 | 12 | 11 | 16 | 20 | 28 | 28 | 44 | 39 | 201 | 203 |
| PY 2008 | 33 | 25 | 49 | 45 | 52 | 48 | 8 | 12 | 16 | 12 | 58 | 44 | 58 | 60 | 274 | 246 |
| PY 2009 | 27 | 21 | 27 | 28 | 65 | 64 | 7 | 6 | 25 | 19 | 35 | 38 | 36 | 38 | 222 | 214 |
| PY 2010 | 22 | 22 | 21 | 24 | 52 | 66 | 8 | 9 | 23 | 28 | 34 | 38 | 31 | 30 | 191 | 217 |
| PY 2011 | 35 | 30 | 19 | 17 | 56 | 55 | 5 | 2 | 22 | 17 | 45 | 43 | 41 | 46 | 223 | 210 |
| PY 2012 | 26 | 39 | 18 | 18 | 57 | 68 | 7 | 10 | 13 | 23 | 30 | 41 | 35 | 35 | 186 | 234 |

| PY 2013 | New RAPs | | Annual RAP Cost Pre-Approvals | | Annual FPR Cost Pre-Approvals | | Mandatory IRA | | Tier 3 | | Monitoring / Calibration Plan | | Voluntary | | TOTALS | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D | REC'D | DET'D |
| Jul-13 | 4 | 2 | 6 | 6 | 3 | 6 | 0 | 0 | 3 | 0 | 1 | 0 | 1 | 2 | 18 | 16 |
| Aug-13 | 2 | 7 | 4 | 5 | 3 | 5 | 0 | 0 | 0 | 1 | 2 | 2 | 1 | 4 | 12 | 24 |
| Sep-13 | 4 | 0 | 2 | 1 | 0 | 3 | 1 | 0 | 1 | 0 | 1 | 2 | 2 | 2 | 11 | 8 |
| Oct-13 | 4 | 4 | 5 | 8 | 7 | 4 | 1 | 2 | 0 | 2 | 2 | 3 | 4 | 2 | 23 | 25 |
| Nov-13 | 0 | 3 | 3 | 2 | 3 | 2 | 0 | 0 | 0 | 1 | 3 | 0 | 3 | 3 | 12 | 11 |
| Dec-13 | 0 | 4 | 2 | 2 | 6 | 2 | 0 | 0 | 1 | 0 | 2 | 2 | 1 | 1 | 12 | 11 |
| Jan-14 | 0 | 1 | 3 | 3 | 7 | 9 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | 2 | 13 | 16 |
| Feb-14 | | | | | | | | | | | | | | | | |
| Mar-14 | | | | | | | | | | | | | | | | |
| Apr-14 | | | | | | | | | | | | | | | | |
| May-14 | | | | | | | | | | | | | | | | |
| Jun-14 | | | | | | | | | | | | | | | | |
| **TOTALS** | 14 | 21 | 25 | 27 | 29 | 31 | 2 | 2 | 6 | 4 | 12 | 10 | 13 | 16 | 101 | 111 |
| **Avg./Mo** | | | | | | | | | | | | | | | **14** | **16** |